

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 701826-056360

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/521,524

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED 16 July 2003 (16.07.2003) PCT/CA2003/001069 16 July 2002 (16.07.2002) TITLE OF INVENTION COMPOSITION FOR CYTOCOMPATIBLE, INJECTABLE, SELF-GELLING CHITOSAN SOLUTIONS FOR ENCAPSULATING AND DELIVERING LIVE CELLS OR BIOLOGICALLY ACTIVE FACTORS A, INC.: HOEMANN, Caroline; CHENITE, Abdellatif; SERREQI, Alessio and SUN, Jun Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 🔲 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment An Application Data Sheet under 37 CFR 1.76. 15 A substitute specification. 16 l A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17 I A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL API		ATTORNEY'S DOC		
10/521,524	PCT/CA2003/001069		701826-056360		
20. Other items or information: Exp. Mail Cert. EV653001477US, Letter to Examiner; COPY-International Search Report; COPY-References A1-A3, B1-B5, and C1-C11; and Return Receipt Postcard					
The following fees have been submitted			CALCULATIONS	PTO USE ONLY	
21. Basic national fee (37 CFR 1.492(a))		\$300	\$		
22. Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the interna by IPEA/US indicates all claims satisfy provision All other situations	\$				
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority			\$	·	
TOTAL OF 21, 22 and 23 =			0.00		
Additional fee for specification and drawings filed in sequence listing in compliance with 37 CFR 1.4 electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets or	821(c) or (e) or computer pr			·	
•	neets Number of each additional 50 or fraction RATE thereof (round up to a whole number)				
- 100 = /50 =		x \$250	\$ 0.00		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			\$ 0.00		
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims - 20 =		x ; \$ 50	\$		
Independent claims - 3 =		x \$200	\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360	\$ 0.00		
	TOTAL OF ABOVE	CALCULATIONS =	\$ 0.00		
X Applicant claims small entity status. See 37 CFR 1	.27. Fees above are reduce	ed by 1/2.	0.00		
		SUBTOTAL =	\$ 0.00		
Processing fee of \$130.00 for furnishing the English traclaimed priority date (37 CFR 1.492(i)).	nslation later than 30 month	ns from the earliest +	\$	0.00	
	TOTAL	NATIONAL FEE =	\$	0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$	0.00		
	TOTAL FE	ES ENCLOSED =	\$	0.00	
			Amount to be refunded:	\$	
		··· • • • • • • • • • • • • • • • • • •	Amount to be charged	\$	

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a. A check in the amount of \$		_ to cover the above	e fees is enclo	sed.	
b. Please charge my Deposit Acco A duplicate copy of this sheet is		in the amount of \$		to cover the above fees.	,
c. X The Commissioner is hereby aut Account No50-0850 A du	horized to charge any plicate copy of this sh	additional fees whice	ch may be requ	uired, or credit any overpa	yment to Deposit
d. Fees are to be charged to a cred be included on this form. Provi	it card. WARNING: In de credit card informa	formation on this for	m may becon on on PTO-20	ne public. Credit card info 38.	rmation should not
NOTE: Where an appropriate time limit and granted to restore the International	under 37 CFR 1.495 Application to pend	has not been met, ing status.	a petition to i	evive (37 CFR 1.137(a) c	or (b)) must be filed
SEND ALL CORRESPONDENCE TO: David S. Resnick NIXON PEABODY LLP 100 Summer Street Boston, MA 02110-2131			SIGNAT David S NAME	URE . Resnick	
US		,	34,235	· · · · · · · · · · · · · · · · · · ·	
	•		REGIST	RATION NUMBER	
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Practitioner's Docket No. 701826-056360

PATENT

IN THE UNITED STATES RECEIVING OFFICE

International Application Number	International Filing Date	International Earliest Priority Date
PCT/CA2003/001069	16 July 2003	16 July 2002
	16.07.03	16.07.02

TITLE OF INVENTION:

COMPOSITION FOR CYTOCOMPATIBLE,

INJECTABLE, SELF-GELLING CHITOSAN SOLUTIONS FOR ENCAPSULATING AND DELIVERING LIVE CELLS

OR BIOLOGICALLY ACTIVE FACTORS

APPLICANT FOR DO/US:

BIO SYNTECH CANADA, INC.

INVENTORS FOR DO/US:

HOEMANN, Caroline; CHENITE, Abdellatif; BUSCHMAN,

Michael; SERREQI, Alessio; and SUN, Jun

U.S. SERIAL NO.:

10/521,524

CERTIFICATE OF MAILING

I hereby certify that this correspondence, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as Express Mail Label No. EV 653001477 US in an envelope addressed to MAIL/STOP PCT, Commissioner for Patents, Box 1450,

Alexandria, VA 22313-1450.

Date: September , 2005

Linda M Ginsbe

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

LETTER TO EXAMINER

On January 18, 2005, Applicants filed the application under 35 U.S.C. 371 in the United States Designated/Elected Office for the above-referenced international application. Enclosed herewith please find:

- 1. Executed Declaration and Power of Attorney (5 pp.);
- 2. Information Disclosure Statement and Forms PCT/SB/08a and b (4 pp.);
- 3. COPY-International Search Report (4 pp.); and
- 4. COPY-References A1-A3; B1-B5; and C1-C11.

Applicants note that the surcharge for furnishing the oath or declaration late was previously paid with the January 18, 2005 national entry. Accordingly, Applicants believe that no fee is due at this time.

Please charge any fees that may be due in connection with this matter to Deposit Account No. 50-0850.

Practitioner's Docket No. 701826-056360

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APPLICANT FOR DO/US:

INVENTORS FOR DO/US:

BIO SYNTECH CANADA, INC.
HOEMANN, Caroline; CHENITE, Abdellatif; BUSCHMAN, Michael; SERREQI,

Alessio; and SUN, Jun

U.S. SERIAL NO.:

10/521,524

Please contact the undersigned if any additional information is needed.

Date: September 1, 2005

Respectfully submitted,

David S. Resnick (Reg. No. 34, 235)

NÍXON PEABODY LLP

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IN THE UNITED STATES RECEIVING OFFICE

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EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV 653001477 US Date of Deposit September 20, 2005

I hereby state that the following attached paper or fee

- 1. Express Mail Certificate EV 653001477 US (1 pg.);
- 2. Form 1390 page 3 in duplicate (4 pp.);
- 3. Letter to Examiner (2 pp.);
- 4. Executed Oath and Declaration (5 pp.);
- 5. COPY-International Search Report (4 pp.);
- 6. Information Disclosure Statement and Forms PCT/SB/08a and b (4 pp.);
- 7. COPY-References A1-A3; B1-B5; and C1-C11; and
- 8. Return Receipt Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of person mailing paper or fee